

Practice Midterm 1

1. Systems A and B consist of four linear equations in four unknowns modulo 2.

$$\begin{array}{rcl} x_1 & + & x_2 = 0 \\ + & & + \\ y_1 & + & y_2 = 0 \\ = & & = \\ 1 & & 1 \end{array}$$

system A

$$\begin{array}{rcl} x_1 & + & x_2 = 1 \\ + & & + \\ y_1 & + & y_2 = 0 \\ = & & = \\ 1 & & 1 \end{array}$$

system B

- (a) Solve system A using modular Gauss-Jordan elimination. If there are multiple solutions, specify the free variables and the assignment to the remaining variables in terms of the free variables.

Solution: Keeping the equation $x_1 + x_2 = 0$ and eliminating x_1 from the others gives the system $x_2 + y_1 = 1, x_2 + y_2 = 1, y_1 + y_2 = 0$. Now we keep $x_2 + y_1 = 1$ and eliminate x_2 to obtain $y_1 + y_2 = 0$ twice. Eliminating y_1 leaves y_2 as the free variable. Substituting back gives the solution $y_1 = y_2, x_2 = y_2 + 1, x_1 = y_2 + 1$. (As y_2 can be either 0 or 1 there are two solutions for (x_1, x_2, y_1, y_2) : $(1, 1, 0, 0)$ and $(0, 0, 1, 1)$.)

- (b) In system B the value in the first equation was flipped. Produce a contradictory linear combination for system B . You may use any method you like.

Solution: The contradictory linear combination is the sum of all the equations. As each variable appears twice the left-hand side vanishes modulo two. The right hand side equals $1 + 0 + 1 + 1 = 1$.

2. You want to apply Gradient Descent to the system

$$\begin{bmatrix} 1 & 3 \\ 3 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}.$$

The eigenvalues of the matrix are $\lambda_1 = 4$ and $\lambda_2 = -2$ with corresponding eigenvectors $\mathbf{v}_1 = (1/\sqrt{2}, 1/\sqrt{2})$, $\mathbf{v}_2 = (1/\sqrt{2}, -1/\sqrt{2})$.

- (a) What is the maximum rate ρ^* below which convergence to the unique solution $(1/4, 1/4)$ is guaranteed (for any initialisation)?

Solution: ρ^* is the inverse square of the spectral norm of A . The spectral norm of a symmetric matrix is the largest eigenvalue in absolute value, which is 16 in this case. Therefore $\rho^* = 1/16$.

- (b) You run Gradient Descent with rate $\rho = 0.01$ and initialisation $(x, y) = (1, 0)$. The distance between the state (x_t, y_t) at time t and the solution $(1/4, 1/4)$ is $\Theta(b^t)$ for some number b . Find b .

Solution: $b = 0.92$. Let $\mathbf{x}^* = (1/4, 1/4)$ and $\mathbf{x} = (x, y)$ be the state of the algorithm at some point. The difference $\mathbf{x} - \mathbf{x}^*$ is multiplied by the matrix $B = I - 2\rho AA^T$ at every step of the algorithm. As A is symmetric, AA^T has the same eigenvectors as A^2 and therefore A , and so does $B = I - 2\rho AA^T$. The associated eigenvalues are $1 - 2\rho\lambda_1^2 = 0.68$ and $1 - 2\rho\lambda_2^2 = 0.92$.

3. The list representation of f defined over the fourth roots of unity is

$$\begin{array}{c|cccc} x & 1 & i & -1 & -i \\ \hline f(x) & 0 & 1 & 0 & 1 \end{array}$$

(a) Find the polynomial representation of f . You may use any method you like. Explain your steps.

Solution: We can calculate the Fourier coefficients by averaging the product of f and the corresponding basis function:

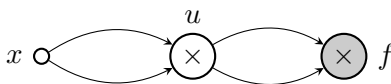
$$\begin{aligned} \hat{f}(0) &= \text{average of } f(x) = \frac{1+1}{4} = \frac{1}{2} \\ \hat{f}(1) &= \text{average of } f(x) \cdot x = \frac{i+(-i)}{4} = 0 \\ \hat{f}(2) &= \text{average of } f(x) \cdot x^2 = \frac{i^2+(-i)^2}{4} = -\frac{1}{2} \\ \hat{f}(3) &= \text{average of } f(x) \cdot x^3 = \frac{i^3+(-i)^3}{4} = 0 \end{aligned}$$

so $f(x) = \frac{1}{2} - \frac{1}{2}x^2$.

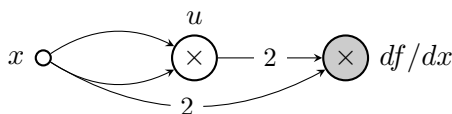
(b) What is the linear approximation of f that minimizes the average square error?

Solution: It is $\hat{f}(0) + \hat{f}(1)x$, which is the constant $1/2$. (The average square error of this approximation is $1/2$.)

4. Show the result of applying backpropagation to the circuit below. Your circuit may use plus and times gates. If you applied any simplifications (e.g., $1 \times x$ was replaced by x) explain them.



Solution: The circuit has gates $df/df = 1$, df/du , and df/dx with connections $df/du = u \times df/df + df/df \times u$ which we simplify to $df/du \times (2u)$ and further to $2u$, and $df/dx = x \times df/du + df/du \times x$, which we simplify to $df/du \times (2x)$. The f -gate can be removed as it it does not affect the output df/dx . The resulting simplified circuit is



Practice Midterm 2

1. Apply Gauss-Jordan Elimination to find a contradictory linear combination for the system of equations below. Explain all the steps.

$$\begin{aligned} x - y &= 1 \\ y - z &= 1 \\ z - x &= 1 \end{aligned}$$

Solution: We are looking for a linear combination of the equations for which the left-hand side is identically zero and the right-hand side is 1. Let a, b, c be the coefficients. Then $(a - c)x + (c - b)z + (b - a)y = a + b + c$, so we need $a - c = 0, c - b = 0, b - a = 0, a + b + c = 1$. Eliminating a from the last three equations we obtain $b - c = 0$ (twice) and $b + 2c = 1$. Eliminating b we get $3c = 1$ and substituting back we find $a = b = c = 1/3$. Indeed, scaling each equation by $1/3$ and adding everything up gives the contradiction $0 = 1$.

2. You apply Power Iteration on symmetric matrix A with initialization \mathbf{x} .

- (a) Let \mathbf{x} be the state at the end of step t and \mathbf{y} be the state in step $t + 1$ before normalization. Prove that the spectral norm of A is at least $\|\mathbf{y}\|/\|\mathbf{x}\|$, regardless of initialization.

Solution: The spectral norm is the largest possible value of $\|A\mathbf{z}\|/\|\mathbf{z}\|$ for all possible \mathbf{z} . If we instantiate \mathbf{z} with \mathbf{x} , we get that $\|\mathbf{y}\|/\|\mathbf{x}\| = \|A\mathbf{x}\|/\|\mathbf{x}\|$ cannot be larger than this maximum.

- (b) Use part (a) to argue that the following matrix has spectral norm greater than 3.

$$\begin{bmatrix} 0 & 1 & 2 \\ 1 & 2 & 1 \\ 2 & 1 & 0 \end{bmatrix}$$

Solution: Suppose we instantiate Power Iteration with $\mathbf{x} = (1, 1, 1)$. Then $\mathbf{y} = A\mathbf{x} = (3, 4, 3)$ and $\|A\| \geq \|\mathbf{y}\|/\|\mathbf{x}\| = \sqrt{3^2 + 4^2 + 3^2}/\sqrt{3} \geq 3$.

3. The function $f(x, y, z)$ evaluates to 1 if all its inputs are +1, and to -1 if at least one of them is a -1. Apply the Fast Fourier-Walsh algorithm to calculate the Fourier coefficients of f . Show all the steps. You may shortcut the execution if an intermediate function simplifies to a constant.

Solution: The function $f(x, y, -1)$ is always -1. The function $f(x, y, +1)$ is 1 if $x = y = +1$ and -1 otherwise. Applying the next round of recursion we get $f(x, +1, +1) = x$ and $f(x, -1, +1) = -1$, so

$$f(x, y, +1) = f(x, +1, +1) \cdot \frac{1+y}{2} + f(x, -1, +1) \cdot \frac{1-y}{2} = x \frac{1+y}{2} + (-1) \frac{1-y}{2} = -\frac{1}{2} + \frac{1}{2}x + \frac{1}{2}y + \frac{1}{2}xy$$

and

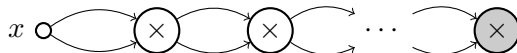
$$\begin{aligned} f(x, y, z) &= f(x, y, +1) \cdot \frac{1+z}{2} + f(x, y, -1) \cdot \frac{1-z}{2} \\ &= \left(-\frac{1}{2} + \frac{1}{2}x + \frac{1}{2}y + \frac{1}{2}xy\right) \cdot \frac{1+z}{2} + (-1) \cdot \frac{1-z}{2} \\ &= -\frac{3}{4} + \frac{1}{4}x + \frac{1}{4}y + \frac{1}{4}z + \frac{1}{4}xy + \frac{1}{4}xz + \frac{1}{4}yz + \frac{1}{4}xyz. \end{aligned}$$

The Fourier coefficients are $\hat{f}(\emptyset) = -3/4$ and $\hat{f}(S) = 1/4$ for all other S .

4. In this question all times gates take exactly two inputs. Assume n is a power of two.

- (a) Draw a circuit for x^n with $\log n$ times gates.

Solution:



- (b) Let $f(x) = 1 + x + x^2 + \dots + x^{n-1}$. Show that $f(x) = (1 + x)(1 + x^2)(1 + x^4) \dots (1 + x^{n/2})$. (**Hint:** Induction.)

Solution: When $n = 1$, both equal $1 + x$. Now assuming $1 + x + \dots + x^{n-1}$ equals $(1 + x)(1 + x^2)(1 + x^4) \dots (1 + x^{n/2})$, for some n that is a power of two, the next power of two is $2n$ and

$$1 + x + \dots + x^{2n-1} = (1 + x + \dots + x^{n-1}) + x^n(1 + x + \dots + x^{n-1}) = (1 + x + \dots + x^{n-1})(1 + x^n).$$

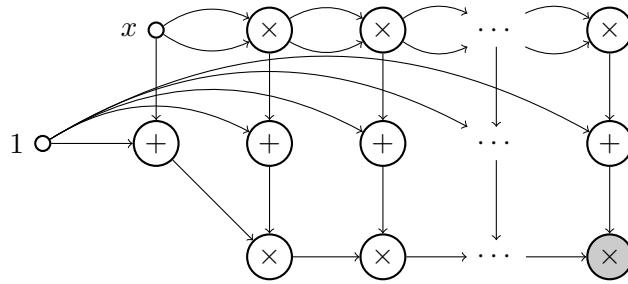
Applying the inductive hypothesis on $1 + x + \dots + x^{n-1}$ gives

$$1 + x + \dots + x^{2n-1} = (1 + x)(1 + x^2)(1 + x^4) \dots (1 + x^n)$$

completing the induction.

- (c) Use part (b) to argue that f has a circuit (with plus and times gates) of size $O((\log n)^2)$.

In fact f can be calculated with $O(\log n)$ gates only by the following circuit. The circuit calculates the powers $x, x^2, x^4, \dots, x^{n/2}$ using $\log n - 2$ multiplication gates, the terms $1 + x, 1 + x^2, 1 + x^4$ and so on using another $\log n - 1$ plus gates, and multiplies them all together using a single times gate with $\log n - 1$ incoming wires, or a tree of $\log n - 2$ times gates with two incoming wires for each.



- (d) Let $g(x) = 1 + 2x + 3x^2 + \dots + (n-1)x^{n-2}$. Show that g has a circuit of size $O((\log n)^2)$.
(Hint: Forward/backpropagation.)

Solution: As both the times and plus gates have two incoming wires, the circuit for f has $O(\log n)$ wires (or $O((\log n)^2)$ for the less optimal construction). As g is the derivative of f , applying backpropagation to f gives a circuit for $df/dx = g$ of same asymptotic size.

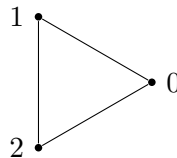
Practice Midterm 3

1. Apply Gauss-Jordan Elimination to find a *nonzero* solution to the linear system below. Explain your steps.

$$\begin{aligned} x + y + z &= 0 \\ x + 2y + 4z &= 0 \end{aligned}$$

Solution: After eliminating x we obtain $y + 3z = 0$. After eliminating y , z becomes a free variable. The solution found by Gauss-Jordan Elimination is $FREE = \{z\}$, $y = -3z$, $x = -y - z = 2z$. Setting z to any nonzero value yields a nonzero solution. For example, $z = 1$ gives $y = -3$ and $x = 2$.

2. Let A be the adjacency matrix of the 3-cycle (with zeros on the diagonal). The largest eigenvalue of A is $\lambda_1 = 2$. The corresponding eigenvector is $\mathbf{v}_1 = (1/\sqrt{3})(1, 1, 1)$.



- (a) Let \mathbf{x} be any vector that is orthogonal to \mathbf{v}_1 . Show that the state of Power Iteration on A initialized with \mathbf{x} oscillates between \mathbf{x} and $-\mathbf{x}$. Assume there are no precision errors.

Solution: Let $\mathbf{x} = (x_1, x_2, x_3)$. As it is orthogonal to \mathbf{v}_1 , $x_1 + x_2 + x_3 = 0$. Applying A to \mathbf{x} gives $A\mathbf{x} = (x_2 + x_3, x_3 + x_1, x_1 + x_2) = (-x_1, -x_2, -x_3) = -\mathbf{x}$ because subtracting $x_1 + x_2 + x_3$ from every coordinate does not change \mathbf{x} .

- (b) Use part (a) to deduce the other two eigenvalues λ_2 and λ_3 of A . What are they?

Solution: $\lambda_2 = \lambda_3 = -1$. As eigenvectors are mutually orthogonal, applying A to an eigenvector \mathbf{v} other than \mathbf{v}_1 outputs $-\mathbf{v}$, so the corresponding eigenvalue must be -1 .

3. Calculate the Fourier transforms of the following functions. You may use any method you like. Write your answer in the tables provided. $+$ and $-$ are shorthand for the numbers $+1$ and -1 .

(a)

x_1x_2	$++$	$-+$	$+-$	$--$
$f(x_1x_2)$	1	1	-1	-1

S	\emptyset	1	2	12
$\hat{f}(S)$	0	0	1	0

This is the function x_2 . Its only nonzero Fourier coefficient is $\hat{f}(\{2\}) = 1$.

(b)

x_1x_2	$++$	$-+$	$+-$	$--$
$g(x_1x_2)$	0	1	1	1

S	\emptyset	1	2	12
$\hat{g}(S)$	$3/4$	$-1/4$	$-1/4$	$-1/4$

(Hint: What is $1 - g$?)

$1 - g$ is the point function point_{++} . Its polynomial representation is $(1 + x_1)/2 \cdot (1 + x_2/2) = \frac{1}{4} + \frac{1}{4}x_1 + \frac{1}{4}x_2 + \frac{1}{4}x_1x_2$. Therefore $g = \frac{3}{4} - \frac{1}{4}x_1 - \frac{1}{4}x_2 - \frac{1}{4}x_1x_2$.

(c)
$$h(x_1x_2x_3) = \begin{cases} f(x_1x_2), & \text{if } x_3 = -1 \\ g(x_1x_2), & \text{if } x_3 = +1. \end{cases} \quad \begin{array}{c|cccccccc} S & \emptyset & 1 & 2 & 3 & 12 & 13 & 23 & 123 \\ \hline \hat{g}(S) & \frac{3}{8} & -\frac{1}{8} & \frac{3}{8} & \frac{3}{8} & -\frac{1}{8} & -\frac{1}{8} & -\frac{5}{8} & -\frac{1}{8} \end{array}$$

(Hint: Apply one of the steps of the Fast Fourier-Walsh algorithm.)

$$\begin{aligned} h(x_1x_2x_3) &= \frac{1-x_3}{2} \cdot f + \frac{1+x_3}{2} \cdot g \\ &= \frac{1-x_3}{2} \cdot x_2 + \frac{1+x_3}{2} \cdot \left(\frac{3}{4} - \frac{1}{4}x_1 - \frac{1}{4}x_2 - \frac{1}{4}x_1x_2\right) \\ &= \left(\frac{1}{2}x_2 - \frac{1}{2}x_2x_3\right) + \left(\frac{3}{8} - \frac{1}{8}x_1 - \frac{1}{8}x_2 - \frac{1}{8}x_1x_2 + \frac{3}{8}x_3 - \frac{1}{8}x_1x_3 - \frac{1}{8}x_2x_3 - \frac{1}{8}x_1x_2x_3\right) \\ &= \frac{3}{8} - \frac{1}{8}x_1 + \frac{3}{8}x_2 - \frac{1}{8}x_1x_2 + \frac{3}{8}x_3 - \frac{1}{8}x_1x_3 - \frac{5}{8}x_2x_3 - \frac{1}{8}x_1x_2x_3. \end{aligned}$$

4. What is the linear approximation of f that minimizes the average square error?

Solution: The constant $3/4$. (The approximation error is $3 \cdot (1/4)^2 = 3/16$.)

5. Show the result of forward propagation on the circuit $f(x) = x(1 + x(1 + x))$. Your circuit may use plus and times gates. If you applied any simplifications explain them.

Solution: I will apply the forward propagation algorithm for polynomials. Every multiplication is of the form $1 + h$. Its derivative is $d1/dx + dh/dx = 0 + dh/dx$. I omit the addition of zero. Every multiplication is of the form $x \times h$ its derivative is $1 \times h + x \times dh/dx$. I omit the multiplication by one and simplify this to $h + x \times dh/dx$. (The f -labeled gate is not used and could have been omitted.)

